

# The Health and Care Professions Council (HCPC)

## Internal Audit Progress Report 2018-19

November 2018

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# 1 Internal Audit progress to November 2018

## 1. Introduction

The purpose of this report is to inform the Audit Committee of the progress made in delivering the internal audit plan for the 2018-19 financial year.

## 2. Progress against the 2018-19 Plan

Since the last Audit Committee meeting in August 2018, the following reports have been finalised and issued:

- PSA Improvement Plan
- Social Workers' Transfer Project

In addition, the following audit is currently underway:

- **186 Kennington Park Road Project**  
We are in the process of performing the detailed planning for this review.

We have also discussed the remaining two audit with Management, being the finance focussed review and follow up testing, and have agreed with Management that these will be delivered in January and February 2019.

Section 2 contains further details as to the timings and reporting of each audit report to the Audit Committee.

## 2 Progress against Internal Audit Plan 2018-19

Audit	Status	Estimated Days	Actual Days	Audit Sponsor	Committee reporting
Strategic and operational planning	Completed and issued	10	10	Jacqueline Ladds, Director of Policy and Communications	04 September 2018
Five Year – Financial Modelling Review	Completed and issued	8	11	Guy Gaskins, Director of IT and Operations	N/A
Social Workers Transfer Project	Terms of Reference issued to Management	12	12	Guy Gaskins, Director of Information Technology	20 November 2018
HCPC PSA Improvement Plan	Terms of Reference agreed with Management	8	11	John Barwick, Head of Fitness to Practice and operations	20 November 2018
Key financial controls	Scoping of the Audit to be performed in September 2018	9	-	Guy Gaskins, Director of IT and Operations	05 March 2019
Annual Follow up (High and Medium Actions only)	Not started	4	-	Guy Gaskins, Director of IT and Operations	05 March 2019
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