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**Audit Committee**

**The 68<sup>th</sup> meeting of the Audit Committee will take place as follows:**

**Date:** Tuesday 5 March 2019

**Time:** 9:30 am

**Venue:** Room K, Health and Care Professions Council, Park House,  
184 Kennington Park Road, London SE11 4BU

**Members:** Sue Gallone (Chair)  
Eileen Mullan  
Julie Parker  
Gavin Scott

**Enquiries:** Claire Amor, Secretary to the Committee  
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## Public Agenda

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|---|---|--------------------------|
| 1 | <b>Chairs welcome and introduction</b>  | verbal                   |
| 2 | <b>Apologies for absence</b>  | verbal                   |
| 3 | <b>Approval of agenda</b>   | verbal                   |
| 4 | <b>Declaration of members' interests</b>  | verbal                   |
| 5 | <b>Minutes of the Audit Committee meeting of 20 November 2018</b><br>Claire Amor – Secretary to the Committee | enclosure 1<br>AUD 01/19 |
| 6 | <b>Matters arising</b><br>Claire Amor – Secretary to the Committee  | enclosure 2<br>AUD 02/19 |

### Papers for discussion/decision

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|----|--|--------------------------|
| 7  | Papers from the HCPC's Internal Auditors, Grant Thornton LLP as follows;   |                          |
|    | I. <b>Key financial controls audit report</b><br>For discussion  | enclosure 3<br>AUD 03/19 |
|    | II. <b>Office refurbishment project audit report</b><br>For discussion   | enclosure 4<br>AUD 04/19 |
|    | III. <b>Review of recommendations</b><br>For discussion  | enclosure 5<br>AUD 05/19 |
|    | IV. <b>Annual Internal Audit report 2018-19</b><br>For discussion  | enclosure 6<br>AUD 06/19 |
| 8  | <b>External Audit 2018-19 update</b><br>For discussion<br>Hays MacIntryre<br>NAO   | verbal                   |
| 9  | <b>Strategic Risk Register</b> <ul style="list-style-type: none"><li>• <b>Focus on strategic risk 2</b></li></ul> Jaqueline Ladds, Executive Director of Policy and External Relations | enclosure 7<br>AUD 07/19 |
| 10 | <b>Social work risk register</b><br>For discussion<br>Roy Dunn, Head of Business Process Improvement   | enclosure 8<br>AUD 08/19 |
| 11 | <b>Internal audit 2019-20 quarter one plan</b><br>For approval<br>BDO LLP  | verbal                   |

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| 12 | <b>Quality Assurance Department update</b><br>For discussion<br>Paula Lescott, Head of Quality Assurance | enclosure 9<br>AUD 09/19  |
| 13 | <b>Risk appetite statement</b><br>To note<br>Claire Amor, Secretary to the Committee                     | enclosure 10<br>AUD 10/19 |
| 14 | <b>Any other business</b><br>Previously notified and agreed by the Chair                                 | verbal                    |
| 15 | <b>Date &amp; time of next meeting:</b><br>Tuesday 4 June 2019, 9.30 am                                  | verbal                    |